



Capitalize on the Full Potential of Your Investment Systems

PRACTICE LEADER - Compliance

We are a consulting firm specializing in the buy side of investment management. Our client firms range from over \$1 trillion in AUM to \$10 billion. We specialize in helping clients select, architect and implement technology platforms that keep their businesses running and allow them to grow.

Since 2004, IMP has been an expert advisor to clients implementing trade order management systems and automated compliance solutions. Since 2008, many of our clients have had an increased focus on risk and compliance and have looked to IMP for help in assessing their concerns and implementing practical, effective solutions.

In continuing to serve our client base, we are seeking an experienced compliance professional who can assume the role of Practice Leader for our Compliance Team. Our Compliance Team members are experts in rule coding for market-leading compliance systems such as Charles River Compliance, Fidessa/Latent Zero's Sentinel, Linedata's LDC product, and many others. The role of the Practice Leader is to head up that team and expand the services IMP offers to ensure that our clients are prepared for SEC exams, client presentations and day-to-day compliance challenges.

This is a unique opportunity to expand and grow this practice, inside a successful consulting company. This is a senior level position with profit and loss responsibilities, and the position carries significant bonus opportunities.

The successful candidate will have a minimum of fifteen years experience in the investment management industry. He or she will have a J.D. and have auditing experience. A CPA is a plus, and experience at either FINRA or the SEC as an examiner is preferred.

The successful candidate must be a conscientious, ethical professional and be able to provide impeccable references. He or she will be expected to have a solid network of professional contacts and be a positive contributor to the industry as a whole.

Interested candidates* may send confidential inquiries to Beth Saunders, IMP Consulting:
bsaunders@impcosults.com

*Principals only, please. IMP is not engaging recruiters at this time.